

Please write in block capitals

1. About your new account

Which type of account(s) do you wish to open?

Share Trading Foreign Exchange Contracts for Difference (CFD) Spread Betting

Note: You may open more than one type of account using this form. However, each account will need to be funded separately.

Which type of service would you like Direct Sharedeal to provide you with?

Execution only Advisory Discretionary

In which currency would you like your account to be opened? UK Sterling US Dollar Euro

2. Existing Direct Sharedeal Accounts

If you have a Direct Sharedeal account or accounts please enter your account number(s) below

3. Personal Details

Title Mr Mrs Miss Ms Dr Other

Forename Surname

Address

Postcode

Date of Birth Nationality

Home Tel Office Tel

Mobile Tel Fax No

Email Marital Status

Previous Address

Postcode

Employer's Name

Employer's Address

Postcode

Employment Status Director Employed Self-Employed

National Insurance No

In good health? Yes No Do you smoke? Yes No

UK resident? Yes No Country of domicile

If deemed domicile, please state and add domicile or origin

4. Bank Details

Bank Name	<input type="text"/>	Bank Tel	<input type="text"/>
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
Account Name	<input type="text"/>	Account Number	<input type="text"/>
Sort Code	<input type="text"/>	<input type="text"/>	<input type="text"/>

5. Security Details

Mother's Maiden Name	<input type="text"/>	Your Place of Birth	<input type="text"/>
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6. Single/Primary Applicant's Identity Details

Passport Number	<input type="text"/>
Country of issue of passport	<input type="text"/>
UK Driver's License Number (if any)	<input type="text"/>
Bank or utility bill company	<input type="text"/>
Bank or utility bill Account No/Customer Ref.	<input type="text"/>

To comply with the FSA's Money Laundering regulations we need to receive a bank statement or utility bill in your name. Please see the instructions in section 12 for more details.

7. About Joint Application (if any)

Title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Dr <input type="checkbox"/>	Other	<input type="text"/>
Forename	<input type="text"/>				Surname	<input type="text"/>	
Address	<input type="text"/>						
	<input type="text"/>						
	<input type="text"/>						
Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Home Tel	<input type="text"/>				Office Tel	<input type="text"/>	
Mobile Tel	<input type="text"/>				Fax No	<input type="text"/>	
Email	<input type="text"/>				Marital Status	<input type="text"/>	
Previous Address	<input type="text"/>						
	<input type="text"/>						
	<input type="text"/>						

8. Adviser Details

Stockbroker or Investment Adviser

Direct Sharedeal Limited

Solicitor

Accountant

9. CREST Personal Membership Account

If you wish to open a Share Trading account only please complete this section and then proceed section 10. If you wish to open a CFD, Foreign Exchange or Spread Bet account please ignore this section and proceed to section 10.

A CREST Personal Membership Account provides a secure and convenient method of holding UK and European shares electronically. If you wish to open a CREST Personal Membership Account please sign and date the boxes below. However if you would like to trade US and other non-European shares or would like to discuss opening a nominee account please call our account registration department on 0141 270 3213.

For more details about CREST and the duties of the sponsoring broker, a CREST booklet is available upon request.

Signature(s)

I/We agree to Direct Sharedeal Ltd acting as our CREST Sponsor according to the Terms And Conditions of CREST Sponsorship enclosed and which may, from time to time, be amended as notified to us in writing.

Signature of Single/
Primary Applicant

Date

Signature of
Joint Applicant

Date

10. Your Agreement

I/We declare that; **i.** This application form has been completed to the best of my/our knowledge and that the information provided is accurate. **ii.** I/We acknowledge receipt of the Direct Sharedeal Limited Terms and Conditions and I/we have read and agreed to the terms. **iii.** I/We understand the applicable risks associated with the type of account that I/we have applied for in section 1 of this application form and I/we will notify Direct Sharedeal Limited of any change to my/our circumstances immediately. **iv.** I/We authorise Direct Sharedeal to provide safe custody of investments and/or cash under the terms and conditions provided. **v.** Unless indicated otherwise, I/we expressly invite unsolicited communications (i.e. telephone calls and/or emails). Personal visits may not be made without prior approval.

We may send you details of other relevant products and services from time to time, which we believe may be of interest to you. If you do not wish to receive such information please tick here .

Signature(s)

Signature of Single/
Primary Applicant

Date

Signature of
Joint Applicant

Date

11. How Did You Hear About Direct Sharedeal?

12. Instructions

Enclosed with this form are the Terms of Business of Direct Sharedeal Ltd for various Direct Sharedeal accounts. Please read the Terms of Business that apply to the account you wish to open. If you agree to the terms please carefully complete this form and return it with the following items. Unfortunately we cannot open an account without the first two items.

- An original bank statement or original utility bill dated less than 6 months ago. This will be returned to you.
- A certified photocopy of your passport or driving licence. Certification can be done at a bank or by a lawyer or accountant. You will need to provide both a photocopy and the original document. Please do not send the original document.

- A cheque for funds to be put into your account, made payable to Direct Sharedeal Ltd. For details of how to make a bank transfer or debit card payment please call us on 0141 270 3213.

- If you wish to open a CFD, Spread Bet or Foreign Exchange account please sign the Risk Disclosure document and return it with this form.

Return all documents to:

Direct Sharedeal Ltd
4 West Regent Street
Glasgow
G2 1RW
Scotland

If you have any questions please contact us on 0207 337 2670 or email customerservice@directsharedeal.com

For Direct Sharedeal Ltd Use Only

If a tax client; does the engagement letter include investment business paragraphs? Yes No N/A

Has a full investment business engagement letter been: Issued? Date

Returned? Date

Has a waiver of interest been signed? Yes No N/A

Has verification of identity procedure been completed? Date

Date on which copy client questionnaire sent to client: Date

Delete as appropriate:

- Form complete/sections not relevant have been struck through by client/myself
- Client unable/does not wish to supply information not recorded

Initials

Date